



## Reporting Executive Compensation and First-Tier Subcontract Awards

The purpose of this form is to comply with the requirements of FAR 52.204-10 and the Federal Funding Accountability and Transparency Act (Pub. L. No. 109-282). Upon award of any subcontract/purchase order for \$30,000 or more under this solicitation ES3 shall be obligated to report the below information in the Federal Funding Accountability & Transparency Act (FFATA) Subaward Reporting System (FSRS) at [www.fsr.gov](http://www.fsr.gov).

If seller receives this form with a subcontract/purchase order modification/change order, then failure to comply may result in Termination for Default.

Please fill in the information below:

1. Company Name	
2. Company DUNS , Tax ID, or SSN:	
3. Company Parent's DUNS, Tax ID, or SSN: (if applicable)	
4. Cage Code (if applicable)	
5. North American Industry Classification System Code (NAICS)	
6. Product or Service Description	
7. Company Physical Address (include 9 digit zip code) Congressional District	Congressional District:
8. Primary Place of Performance Address (include 9 digit zip code) Congressional District	Customer Site
9. Did the legal entity represented in Question number 1 above have gross income from all sources in its immediately prior federal income tax year of less than \$300,000? If the answer is "No" proceed to question 10. If the answer is "Yes", you may stop and sign form below as you are not required to provide further information.	<input type="checkbox"/> YES <input type="checkbox"/> NO



<p>10. Did your company (legal entity represented by Question number 1 above) receive \$25M or more in annual gross revenues from federal contracts?<sup>1</sup> If the answer is “Yes” proceed to question 11. If the answer is “No”, you may stop and sign form below as you are not required to provide further information.</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p>11. Did your company (legal entity represented by Question number 1 above) receive 80% or more in annual gross revenues from federal contracts? <sup>1</sup> If the answer is “Yes” proceed to question 12. If the answer is “No”, you may stop and sign form below as you are not required to provide further information.</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p>12. Does the public have access to compensation information of the executives for your company (legal entity represented by Question number 1 above) at <a href="http://www.sec.gov/answers/excomp.htm">http://www.sec.gov/answers/excomp.htm</a>? If the answer is “Yes” you may stop and sign form below as you are not required to provide further information. If the answer is “No” you must complete section 13 below in order for ES3. to award you a contract.</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p>

13. Please provide the names and total compensation of the five most highly compensated executives of the legal entity represented by Question number 1 above. **This information will be available to the public at <http://usaspending.gov> and will be required to be updated annually until your subcontract either terminates or your response to question 8, 9 or 10 above changes such that you are no longer required to report.**

		FISCAL REPORTING YEAR: 20XX
Name of Executive	Title	Total Reportable Compensation <sup>i</sup>
1.		
2.		
3.		
4.		
5.		

To the best of my knowledge and belief, the above information required under FAR 52.204-10 is accurate, current and complete as of the date signed below, and I am authorized to report this information for Offeror.

NAME OF OFFEROR ( <i>Type</i> )	TITLE OF SIGNATORY FOR OFFEROR ( <i>Type</i> )
SIGNATURE	DATE